

PETS 25: zero knowledge

Florian Tramèr

Proof systems

- statements / languages

A language $L \subseteq \{0,1\}^*$

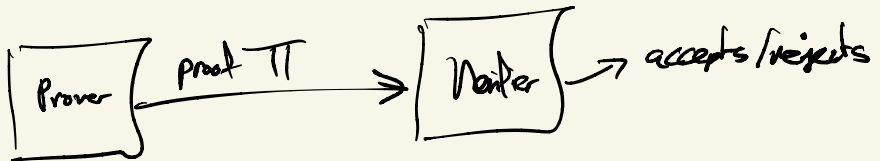
And a statement is " $x \in L$ "

Ex: • $L = \{pq \mid p, q \text{ are 1024 bit primes}\}$
stmt: $N = (28, \dots, 32)$ is in L

- $L = \{x \mid \text{Linux}(x) \text{ crashes}\}$
↗ "all Linux bugs"

stmt: Linux crashes on input = 0x...

"One-shot proofs" / NP



• if verifier is poly time and deterministic, this is NP

What properties should a proof system have?

1. Completeness: If $x \in L$, then an honest prover convinces the verifier (w.p 100%)

2. Soundness: If $x \notin L$, then any prover P^* cannot convince the verifier

• if L has a "one shot" proof system where the verifier is deterministic (and efficient) and completeness/soundness hold absolutely $\rightarrow$ w.p 100% then $L \in \text{NP}$.

Def of NP L is in NP iff $\exists$ ^{polynomial} _{deterministic} M

$$x \in L \iff \exists \underset{\substack{\uparrow \\ \text{witness}}}{w} \in \{0,1\}^{\text{poly}(|x|)} \text{ s.t. } M(x,w) = 1$$

$$P(x) \xrightarrow{w} V(x) \text{ run } M(x,w)$$

Why would we want interactive proofs?

$P \rightleftarrows V \rightarrow \text{accepts/rejects}$

Interactive proofs have many benefits:

1) Prove things outside of NP

Shamir '92 : $IP = PSPACE$

$\uparrow$
languages w. interactive proofs

$\nwarrow$ problems solvable w. polynomial space

2) make shorter proofs

NP : proof of size $|w| = \text{poly}(|x|)$

SNARGs : proofs of size $O(1)$, or $\text{poly}(\log(|x|))$

3) Zero knowledge : prove that $x \in L$
and nothing else!

Def: Interactive Proofs

a protocol between an unbounded prover and efficient (possibly randomized) verifier

denoted as $\langle P, V \rangle(x) \in \{0, 1\}$

$\uparrow$
statement

$\hookrightarrow$ the verifier's output

is an IP for a language L if it satisfies:

• Completeness: $\forall x \in L, \Pr_{FV}[\langle P, V \rangle(x) = 1] \geq 2/3$

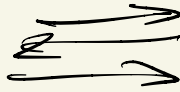
• Soundness: $\forall x \notin L, \forall$ possibly malicious P^*

$$\Pr_V[\langle P^*, V \rangle(x) = 1] \leq 1/3$$

Zero Knowledge

I know
a sat. assignment
for a 3SAT
formula ϕ

Prouver
 ϕ



Verifier
 ϕ

I'm convinced
that ϕ is
satisfiable but I
learned nothing
else

Example applications

Passwords

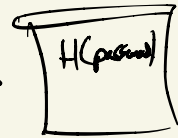
Real world :

Client

password



Server



checks that
 $H(\text{password})$
matches

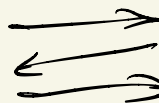
Issues :

- 1) server is hacked, Attacker can observe password
- 2) Client can be phished

Better world:

Client
password

ZK protocol



Server

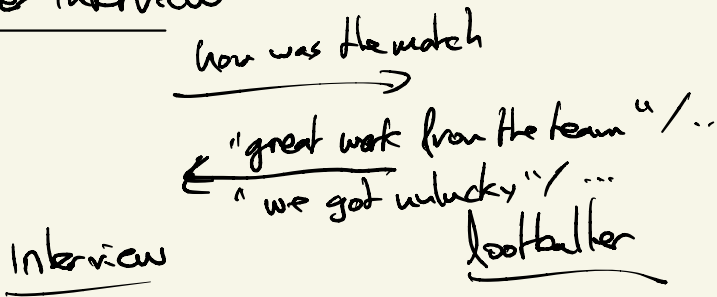
$H(\text{password})$

I'm convinced
that Client knows
password

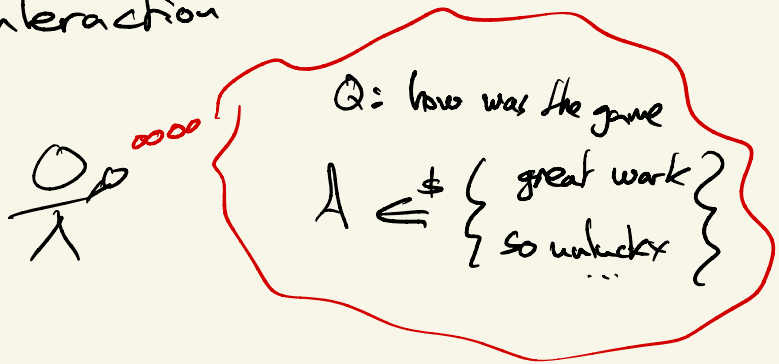
Defining zk

What does it mean to "learn nothing" from an interaction?

Footballer interview



The interviewer could have simulated this entire interaction



Zero Knowledge = the simulated interaction is indistinguishable from the real one

Formal definition

An IP for a language $\mathcal{L}$ is $\exists k$

if $\forall$ possibly malicious verifiers V^* ,

$\exists$ a simulator Sim_{V^*} (efficient, randomized),

such that $\forall x \in \mathcal{L}$:

$$\underset{\substack{y \\ \text{everything} \\ \text{the verifier sees}}}{\text{View}[\langle P, V^* \rangle(x)]} \stackrel{c}{\sim} \underset{\substack{\uparrow \\ \text{just the} \\ \text{statement}}}{\text{Sim}_{V^*}(x)}$$

Weird: if we can simulate a proof, how can we have soundness?

The simulator produces a valid transcript where the verifier accepts

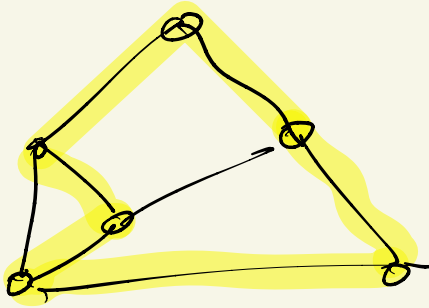
⚠ the simulator has more "power" than the prover

↳ the simulator doesn't have to follow the protocol order

An example

A zk proof for Graph Hamiltonicity

A hamiltonian cycle visits every vertex once



$$L = \{ G : G \text{ has a ham. cycle} \}$$

this is NP complete!

The protocol

Verifier (G)

Prover (G, cycle)

• pick a permutation π over the vertices

• $\forall i, j \in n \quad B_{ij} = 1$

if $(\pi(i), \pi(j)) \in E$

else $B_{ij} = 0$

$\text{Commit}(B_{11}) \dots \text{Commit}(B_{nn})$
 $\text{Commit}(\pi)$

$c \leftarrow \{0, 1\}$

$\xleftarrow{c}$

if $c=0$ open
all commitments

$\xrightarrow{\text{openings}}$

if $c=1$ open
only commitments
to edges on the cycle

Verifier
everything
is ok

if $c=0$:
check that the
committed Graph
is isomorphic to G

if $c=1$:
check that
the opened
commitments
form a cycle

Thm: if we have a commit scheme that is perfectly binding and comp. hiding then this is a zk proof system for Graph hamiltonicity with:

- perfect completeness (100%)
- soundness error $1/2$
- computational zk

By running the protocol λ times, we get negl(λ) soundness error

Proof

- Completeness ✓ "obvious"
- Soundness: if the Graph does not have a hamiltonian cycle then the prover has to commit to either
 - a different graph
 - a graph w.o a cycle $\Rightarrow$ gets caught w.p. $\geq 50\%$

- Zero Knowledge:

we need to create a Simulator that outputs a view indist. from that of V^*

Sim(G):

1. Guess $\hat{c} \leftarrow \{0, 1\}$

if $\hat{c} = 0$: commit to a perm. π of G

if $\hat{c} = 1$: commit to a complete graph

2. send the commitments to V^* who returns c

3. if $\hat{c} \neq c$: abort

4. else: open commitments for the verifier and output $(G, \text{Commit}, c, \text{open})$

- Claim 1: The Simulator reads $\text{w.p. } 1/2 - \text{neg}(G)$
proof: Commitments are hiding

- Claim 2: the output of the Simulator is comp. indist. from the Verifier's View

Real View:

Commit to $\pi(G)$ ✓
 $\xrightarrow{c}$ ✓
 $\xleftarrow{\text{opening}}$ of $\pi(G)$ or a cycle ✓

Sim View Commit to $\pi(G)$ or a complete graph ✓
 $\xrightarrow{c}$ ✓
 $\xleftarrow{\text{opening}}$ ✓

Amplifying Soundness

2 ways to "repeat" this protocol

- In sequence :

$$P \xrightarrow{\text{repeated}} V \rightarrow \text{output 0/1}$$

$$P \xrightarrow{\text{repeated}} V \rightarrow \text{output 0/1}$$
$$\vdots$$

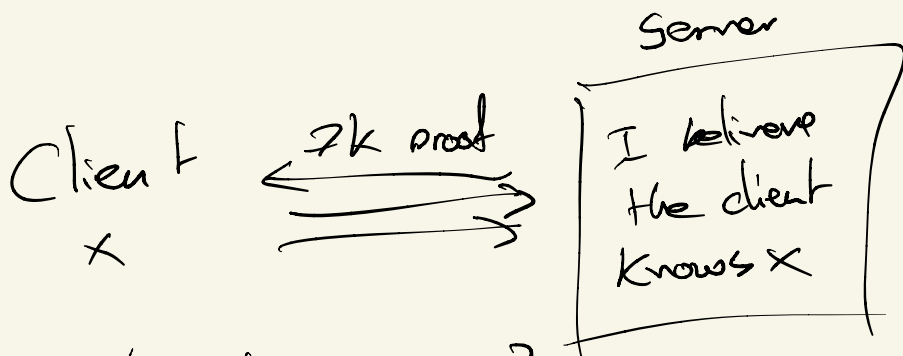
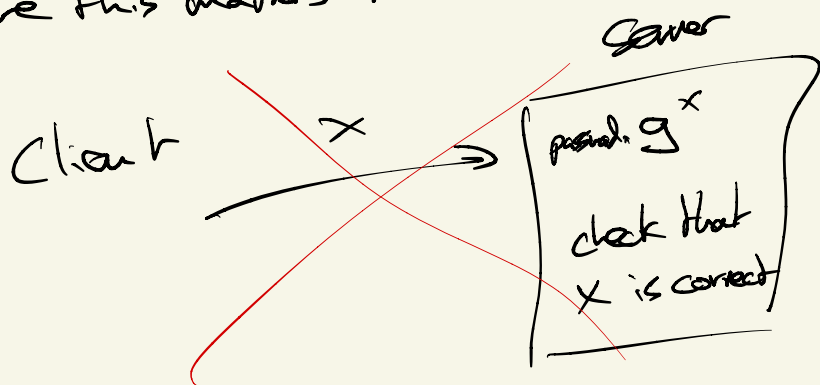
- In parallel :

$$\begin{array}{c} w_{x,1}, \dots, w_{x,t} \\ \xrightarrow{\quad} \\ c_1, \dots, c_t \\ \xrightarrow{\quad} \\ \text{op}_{x,1}, \dots, \text{op}_{x,t} \end{array} \quad V \rightarrow \text{0/1}$$

Proofs of knowledge

the protocol we saw proves that G has a ham. cycle. Does this mean the prover "knows" the cycle?

Ex where this matters:



What's the language?

$$L = \{ h : \exists x \text{ st } g^x = h \}$$

Issue: $\forall h \in G, h \in L$

How do we define knowledge?

Through Torture

informal: an algorithm A "knows" a value x if you can recover x by interacting with the algorithm. ↳ pol time

Doesn't this contradict $\mathcal{EK}$?

$\mathbb{P} \stackrel{\Leftarrow}{\stackrel{\Rightarrow}{\subseteq}} \mathcal{W}$ this doesn't look like $\mathcal{EK}$

Def: Proof of Knowledge

A proof system $\langle P, V \rangle$ for an NP language L is a proof of knowledge (PoK) with knowledge error ϵ if there exists an efficient extractor Ext st $\forall x, \forall P^*$

$$\Pr [M(x, w) = 1 : w \leftarrow Ext^{P^*}(x)] \geq \Pr [\langle P^*, V \rangle(x) = 1] - \epsilon$$

How does the extractor work?

Δ : it needs more "power" than the verifier

Idea: the extractor can rewind the prover

$\Rightarrow$ run the prover $\dots$ $\xrightarrow{\text{run the prover}}$
 $\xleftarrow{\text{run the prover again}}$
save all state of the prover

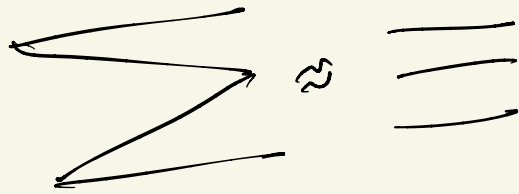
Example for our Ham cycle zk proof

P $\xrightarrow{\text{Commitments}}$ EXT
 $\xleftarrow{C=0}$
 $\xrightarrow{\text{open } \Pi \text{ of the graph}}$

Haha! ∇ rewind to ∇
 $\xleftarrow{C=1}$
 $\xrightarrow{\text{open the cycle}}$

Magic $\Rightarrow$ cycle
applies the Π
to the cycle

Sigma Protocols



$P(x, w)$

generate commitment
 u



generate response
 z



$V(x)$

select challenge
 $c \xleftarrow{\$} \mathcal{C}$

outputs
 $b \leftarrow \text{verit}(x, u, c, z)$
 $\hookrightarrow$ deterministic

Properties

- perfect completeness
- "special" soundness: there exists an extractor Ext such that given two accepting transcripts (u, c, z) , (u, c', z') ($c \neq c'$) it outputs a witness w s.t. $M(x, w) = 1$
- "Honest verifier" ZK: $\exists$ a simulator that takes input (x, c) where $x \in \mathcal{L}$, $c \in \mathcal{C}$ and outputs (u, c, z) s.t. $\text{View}(V) \approx^c \text{Sim}(\cdot)$

Thm: A sigma protocol for an NP language L
is an interactive proof with soundness
error at most $1/|C|$

Proof: from special soundness we know that
any two valid transcripts
 $(u, c, z), (u, c', z')$
 $c \neq c'$ yield the witness

But if $x \notin L$, no witness exists $\exists$
So there can be at most one valid **challenge**

for any commitment u

Since c is chosen at random, the prover
can cheat w.p at most $1/|C|$

Notes:

→ Sim and Ext are just "proof artifacts"
we never run these algos in practice

→ Sim^{V*} can also run/rewind/...
the verifier V*

Non-interactive ZK proofs

- Sigma protocol: 3 round $P \xleftrightarrow{\quad} V$
- Could we do one round? $P \xrightarrow{\pi} V$

This seems impossible! Why?

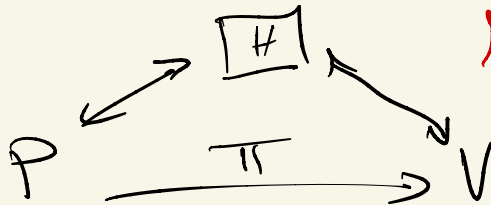
by ZK property, $\forall x \in L$ there exists a Sim such that $\text{Sim}(x) \approx^c \underbrace{\text{view}(V)}_{\pi}$

$\hookrightarrow$ $\exists$ an efficient, randomized algo for deciding the language $\Leftrightarrow L \in BPP$

The issue: the simulator and honest prover have the same power

$\Rightarrow$ in the "standard" model, NIZK is impossible

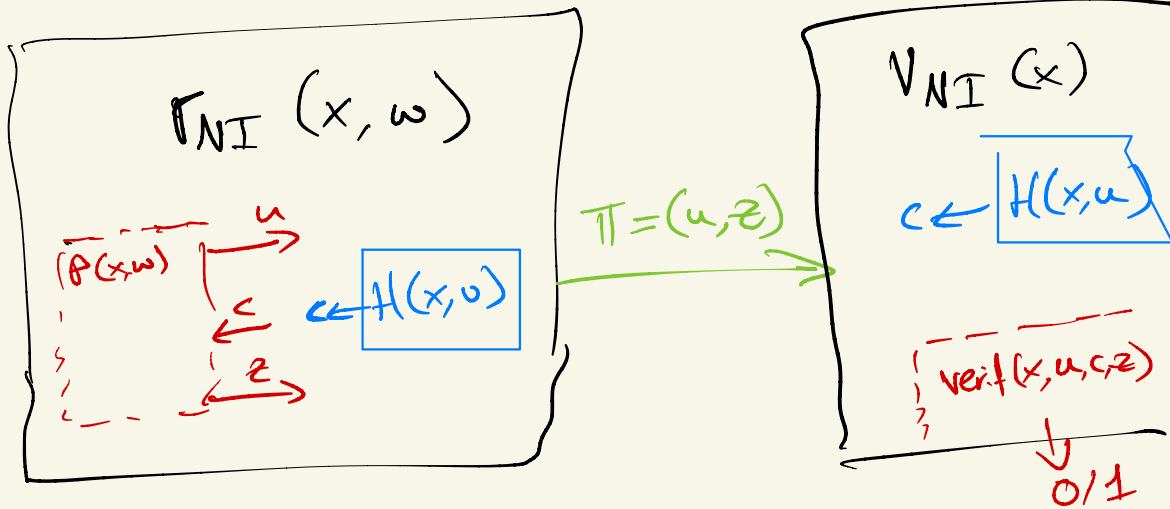
What about the Random Oracle Model?



Δ we'll allow the simulator to "program" the RO

The Fiat-Shamir transform

Idea: replace the verifier's challenge in the Sigma protocol by a RO query



Informal proof of security:

- completeness: ✓
- zk: same thing as for the Σ protocol:
Sim picks $c \leftarrow C$ and runs
 $\text{Sim}_{\Sigma}(x, c) \rightarrow (u, c, z)$
and it programs the RO s.t.
 $H(x, u) := c$
and outputs $\pi = (u, z)$

- Proof of knowledge:

Idea: run the prover until it queries $H(x, u)$. Return $c \in G$.

Get a valid transcript.

Rewind and set $H(x, u) = c$

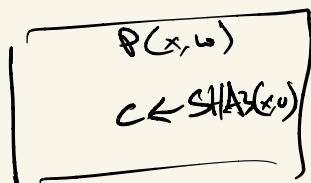
for $c \neq c'$ and continue to get a different transcript

- A note on soundness: recall that for Σ protocols it was ok to have soundness error, say, $1/2$ because you can repeat!

For a NIZK, there's nothing to repeat

So here it's important to start from a Σ protocol with negligible soundness error

- NIZK's in practice:



$\xrightarrow{\pi = (u, z)}$

